



Attorney Docket No. 50325-0556

2181
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

01-13-04

In re application of:

Thomas Roden, et al.

Serial No.: 09/895,560

Filed on: June 29, 2001

) Confirmation No.: 6932
)
) Examiner: NYA
)
) Group Art Unit No.: 2181
)

For: METHOD AND APPARATUS FOR MANAGING A NETWORK USING STATE
LINK INFORMATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with
copies of the documents cited on that form. It is respectfully requested that the cited documents
be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed
by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is
not to be construed as a representation that a search has been made and is not to be construed as
an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted
under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

 X 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes
one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e)

 1.97(e)(1) The undersigned hereby states that each item of
information contained in this information disclosure statement was first
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foreign application not more than three months prior to the filing of this information disclosure statement.

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

_____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e)

_____ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

_____ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

_____ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

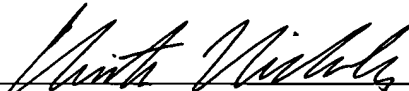
- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: January 5, 2004



Christian A. Nicholes
Reg. No. 50,266

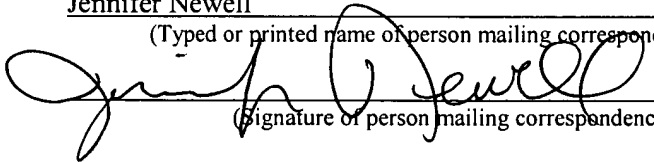
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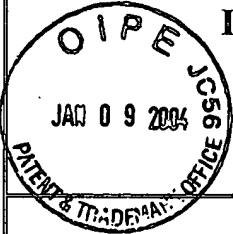
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Jennifer Newell

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(Signature of person mailing correspondence)

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50325-0556	SERIAL NO. 09/895,560	
				APPLICANT: Thomas Roden, et al.		
				FILING DATE: June 29, 2001	GROUP: 2181	
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		5,079,767		Perlman	01-07-1992	RECEIVED JAN 12 2004 Technology Center 2100
		5,436,909		Dev et al.	07-25-1995	
		5,606,669		Bertin et al.	02-25-1997	
		5,627,766		Beaven	05-06-1997	
		5,706,440		Compliment et al.	01-06-1998	
		5,710,885		Bondi	01-20-1998	
		5,796,736		Suzuki	08-18-1998	
		5,822,305		Vaishnavi et al.	10-13-1998	
		5,845,149		Husted et al.	12-01-1998	
		5,910,803		Grau et al.	06-08-1999	
		6,108,702		Wood	08-22-2000	
		6,131,119		Fukui	10-10-2000	
		6,163,529		Nagel et al.	12-19-2000	

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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SERIAL NO.
09/895.560

FILING DATE:
June 29, 2001

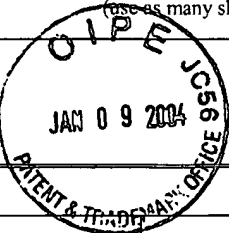
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 50325-0556	Application Number: 09/895,560
		First Named Inventor: Thomas Roden, et al.	RECEIVED JAN ' 2 2004
		Filing Date: June 29, 2001	
OTHER ART – NO PATENT LITERATURE DOCUMENTS			Technology Center 2100
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		J. Postel, "Internet Control Message Protocol, Darpa Internet Program Protocol Specification," September 1981, Request for Comments: 792, http://www.ietf.org/rfc/rfc0792.txt?number=792 , printed 12/30/2003, pp. 1-21.	
		J. Postel, "Telnet Protocol Specification," May 1983, Request for Comments: 854, http://www.ietf.org/rfc/rfc0854.txt?number=854 , printed 12/30/2003, pp. 1-15.	
		J. Postel, "User Datagram Protocol," August 28, 1980, Request for Comments: 768, http://www.ietf.org/rfc/rfc0768.txt?number=0768 , printed 12/30/2003, pp. 1-3.	
		J. Case, et al., "A Simple Network Management Protocol (SNMP)," May 1990, Request for Comments: 1157, http://www.ietf.org/rfc/rfc1157.txt?number=1157 , printed 12/30/2003, pp. 1-34.	

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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